

Electronic Acknowledgement Receipt

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Application Number:	09127341
International Application Number:	
Confirmation Number:	9998
Title of Invention:	OBJECT ORIENTED SYSTEM FOR MANAGING COMPLEX FINANCIAL INSTRUMENTS
First Named Inventor/Applicant Name:	MICHAEL DEADDIO
Customer Number:	27611
Filer:	David W. Schalk
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Application Type:	Utility under 35 USC 111(a)

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File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Issue Fee Payment (PTO-85B)	issue.pdf	97910 ebb91b780bb55ef88dfa86fb704ef0b5f1f94b29	no	1

Warnings:

Information:

2	Miscellaneous Incoming Letter	markedup.pdf	2914301	no	60
			0bb26642288db74abba3b16ecedbc70759e60a88		
Warnings:					
Information:					
3		NovemberAmend01.pdf	55833	yes	7
			899d0bd9959515550585c6ff8cc1b91522c48f2		
	Multipart Description/PDF files in .zip description				
	Document Description		Start	End	
	Amendment After Final		1	1	
	Specification		2	2	
	Claims		3	6	
	Applicant Arguments/Remarks Made in an Amendment		7	7	
Warnings:					
Information:					
4	Miscellaneous Incoming Letter	NovemberClaims01.pdf	27930	no	4
			c21366dbec509c9ca96246796cb09ddbcb24cb88		
Warnings:					
Information:					
5	Specification	SubstSpec03.pdf	426961	no	64
			7e394bc7f832f53c008a97613c32e9fad8506df7		
Warnings:					
Information:					
Total Files Size (in bytes):			3522935		

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New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.